

Anomaly Detection for Smart Home Based on User Behavior

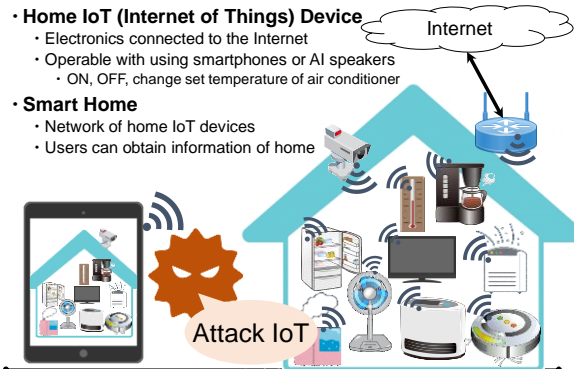
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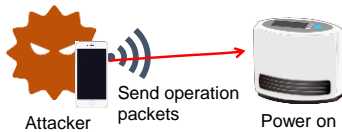
Home IoT, Smart Home



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Anomalous Operation of Home IoT

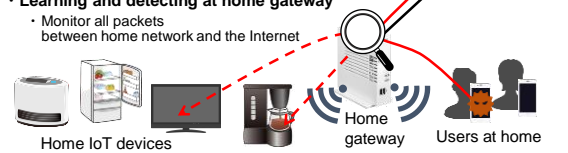
- **Attackers send operation packets to home IoT devices**
 - Make users unsafe and may even harm them
 - Operating heater causes fire
 - Change settings of healthcare devices may harm users
- **Difficult to detect attacks by the pattern matching**
 - Sending same packets as sent by legitimate users
 - Sending packets via compromised smartphones of legitimate user



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Goal and Approach

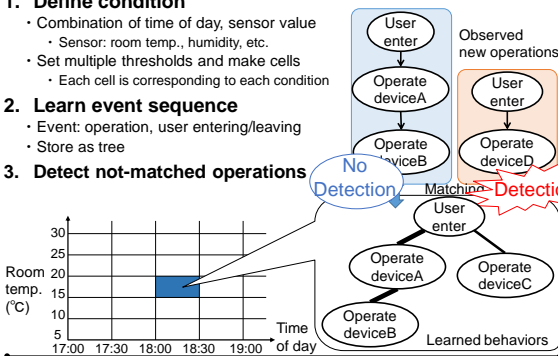
- **Goal**
 - **Detect the anomalous operation of home IoT devices**
- **Approach**
 - **Learning behavior for each condition**
 - **Behavior:** sequence of operations
 - **Condition:** defined by time of day and sensor data
 - Users have their own behavior patterns depending on conditions
 - E.g., Feeling cold, they turns on heater ⇒ humidifier
 - Detect operations that not-matched learned behaviors
 - **Learning and detecting at home gateway**
 - Monitor all packets between home network and the Internet



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Learning Behaviors and Detection Model

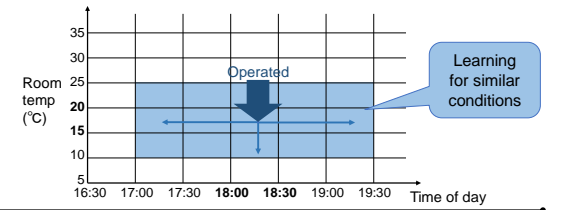
1. **Define condition**
 - Combination of time of day, sensor value
 - Sensor: room temp., humidity, etc.
 - Set multiple thresholds and make cells
 - Each cell is corresponding to each condition
2. **Learn event sequence**
 - Event: operation, user entering/leaving
 - Store as tree
3. **Detect not-matched operations**



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Learning Small Data

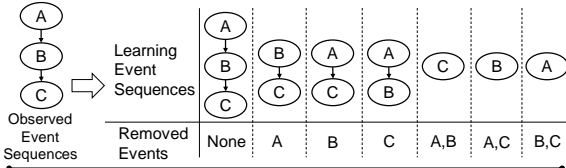
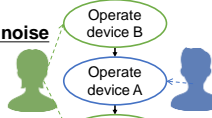
- **Difficulty**
 - **Only a small number of events for each condition**
 - Number of user's operation of home IoT devices are limited
- **Idea**
 - **Learning behaviors for similar conditions**
 - Users behave same as for similar conditions



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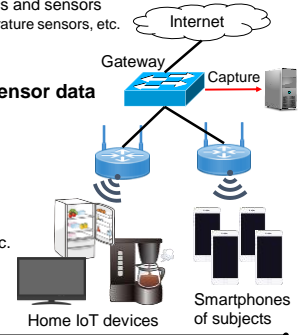
Removing Noise Events

- Difficulty
 - **Included events from other user as noise**
 - Typical smart home has multiple users
- Idea
 - **Generate multiple sequences by removing some events**
 - Learnable essential sequences
 - **Use only sequences for detection done for multiple times**
 - Essential behaviors would be done for multiple times



Evaluation Environment

- **Construct a network of home IoT devices in our lab**
 - 15 kinds of consumer electronics and sensors
 - heaters, a coffee maker, temperature sensors, etc.
 - 4 subjects
- **Captured all packets and sensor data**
 - Recorded the times of
 - Operation of home IoT devices
 - Entering or leaving of users
- **Defined the condition by only the time of day**
 - Room temperature, humidity, etc. did not change significantly in our environment



Evaluation

- **Dataset**
 - Adding 100 operations per day at random time into captured packets
 - Legitimate operations: operations of subjects in the captured data
 - Anomalous operations: added 100 operations per day
- **Parameter Setting**
 - Using data monitored in the 1st week for each month
- **Method**
 - LOO-CV (Leave-One-Out Cross-Validation)
 - Test data: one of data separated by day added 100 operations
 - Learning data: the others
 - Sum up results of each day and calculate *Detection* and *Misdetction Ratio*
- **Metrics**
 - $Detection\ Ratio = \frac{\#\ of\ Detected\ Anomalous\ Operations}{\#\ of\ Added\ Anomalous\ Operations}$
 - $Misdetction\ Ratio = \frac{\#\ of\ Misdetcted\ Legitimate\ Operations}{\#\ of\ Legitimate\ Operations}$

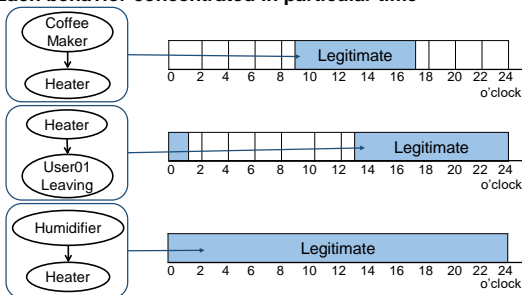
Results – for 1 month

- **Detected 95-100% attacks with only a few misdetections**
 - Behaviors for each condition were enough learned
- **Difficult to detect device including “Single Operation”**
 - “Single Operation”: not observed previous or subsequent event
 - Use only condition information, cannot use sequence information
 - Operated at various times of day
- **Difficult to detect that monitored event sequences vary quite a lot**
 - Rare operations

	Detection Ratio	Detected / Total	Misdetction Ratio	Misdetcted / Total
Heater	0.959	2110/2200	0.182	2/11
TV B	1.000	2200/2200	0.000	0/2
Coffee maker	0.157	346/2200	0.000	0/48
Humidifier	0.080	176/2200	0.000	0/38
TV A	1.000	2200/2200	1.000	8/8

Learned Behaviors of Heater

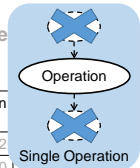
- **Heater’s operation is related to other devices’ operation and user’s leaving**
- **Each behavior concentrated in particular time**



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January 2017				
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Results – for 3 months

- Detected more than 99% attacks with only a few misdetections
- Misdetection ratios of TVs are smaller than 1 month
 - Using more event sequences to learn legitimate behaviors
- Difficult to detect devices including single operations

April, June, and August 2017

	Detection ratio	Detected / Total	Misdetection ratio	Misdetected / Total
Electric fan A	0.998	6384/6400	0.000	0/9
Electric fan B	0.999	6399/6400	0.000	0/6
TV A	0.996	6377/6400	0.171	7/41
TV C	0.999	6397/6400	0.000	0/10
TV D	0.999	6398/6400	0.111	1/9
Coffee maker	0.611	3908/6400	0.058	3/52

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Conclusion and Future Work

- Detected 95—100% of anomalous operations
 - With several misdetections (single operations, rare operations)
- Future Work
 - Detecting single operations
 - Mitigation of misdetection of rare operations
 - Comparing with other method
 - Using actual home data